

Genetic variants for personalised management of very low carbohydrate ketogenic diets

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ABSTRACT

The ketogenic diet (KD) is a low-carbohydrate, high-fat, adequate-protein diet proven to be effective for the reversal of obesity, metabolic syndrome and type 2 diabetes, and holding therapeutic potential for the prevention and treatment of other chronic diseases. Genetic and dynamic markers of KD response may help to identify individuals most likely to benefit from KD and point to individuals at higher risk for adverse health outcomes. Here, we provide a clinician-friendly review of state-of-the-art research on biomarkers of KD response for a variety of outcomes including weight loss, body composition and cognitive performance drawing data from both intervention trials and case reports of rare inborn errors of metabolism. We also present a selection of the most promising candidate genes to evaluate in future studies and discuss key aspects of study design and variant interpretation that may help accelerate the implementation of these biomarkers in clinical practice.

INTRODUCTION

As the field of precision medicine is gaining traction, nutrition research is experiencing a 'gold rush' for biomarkers that may enable the selection of personalised dietary interventions to maximise an individual's likelihood of successful response. These biomarkers include both genetic factors and dynamic biomarkers that respond to lifestyle factors such as physiological markers,¹ epigenetics and transcriptomics,² metabolomics³ and the microbiome.⁴ The use of biomarkers of dietary response would be of particular clinical relevance for the selection and individualised risk-benefit analysis of therapeutic diets, such as the ketogenic diet (KD), which provide alternative or complementary therapies to standard-of-care treatments.

KD is a low-carbohydrate, high-fat, adequate-protein diet that was historically used to treat epilepsy and diabetes a century ago prior to the discovery of insulin and antiepileptic medications.^{5 6} The latest scientific evidence showing that ketones are both preferred fuels and potent signalling molecules has dramatically increased the number of investigations

into the basic science of ketones and their applications across broad disciplines. Ketones demonstrate pleiotropic actions affecting gene expression and pathways regulating inflammation, oxidative stress, immune function, membrane health, cell signalling and antioxidant status⁷ that manifest in reversal of metabolic disease and extended healthspan. The most remarkable therapeutic evidence of KDs is the rapid and sustained reversal of obesity, metabolic syndrome (MetS) and type 2 diabetes in a plethora of recent published research. Preclinical and other evidence point to KDs as a promising therapeutic intervention in other chronic diseases including Alzheimer's disease (AD) and certain type of cancers.⁸ KD induces a metabolic switch from using glucose to using fat as primary fuel for the body. There are different variations of KD with the most commonly used protocols recommending 30–50 g or less of dietary carbohydrate or 25–30 g of 'net carbs' (calculated by total carbohydrate minus fibre) per day.^{9 10} Beta-hydroxybutyrate (BHB) and acetoacetate are the two main ketone bodies in blood that are produced from partial oxidation of fatty acids. Both ketone bodies and fatty acids are important sources of energy for the brain, heart, muscle, kidney and other tissues when glucose availability is limited such as during periods of carbohydrate restriction and fasting or when energy demands are increased, such as during illness or vigorous exercise.

A personalised lifestyle approach to KD would enable to maximise both therapeutic effectiveness and long-term safety for patients. Although KD has proven to be effective and safe for most people in trials up to 2 years,¹¹ the degree of therapeutic response in terms of weight loss, metabolic changes and neurological effects varies across individuals.^{8 12} In addition to physiological factors such as sex and age, this variability likely reflects the interaction of genetic and lifestyle-related

factors including diet, insulin resistance (IR) and activity level. As KD and personalised nutrition are becoming more and more popular, genetic variants for the prediction of KD response are increasingly discussed in media articles, blog posts and direct to consumer genetic reports. However, most of these variants have not been evaluated in intervention trials of KD, which can mislead and confuse consumers and their health practitioners.

The main aim of this review is to provide clinicians and patients with a snapshot of clinically tested common single-nucleotide polymorphisms (SNPs) for the prediction of KD response, ranked by their strength of scientific evidence. These SNPs have been identified in intervention trials testing the effects of KD on various outcomes including weight loss, body composition and cognitive performance. In addition, we also provide a list of rare mutations with strong effects on KD response, either as a therapeutic indication or contraindication, and present a selection of the most promising candidate genes and approaches to evaluate in future studies. Finally, we discuss the importance of establishing common standards of study design and variant interpretation and delineate the steps we need to take towards the implementation of practice-based guidelines.

COMMON SNPS ASSOCIATED WITH METABOLIC OR NEUROLOGICAL OUTCOMES IN INTERVENTION STUDIES OF KD OR KETOGENIC AGENTS

Genetic variation may influence KD response by affecting the body's ability to process and use carbohydrates or fats. While rare mutations (frequency <1%) can produce strong effects on phenotype, common (SNPs, minor allele frequency $\geq 1\%$) result in more subtle effects that depend on the interaction with other genetic variants and environmental factors. Genome-wide association studies (GWAS) have identified many SNPs associated with interindividual differences in the response to high fat food intake. However, most of these GWAS were observational studies based on populations that consumed diets high in both fats and carbohydrates, often referred as to obesogenic high-fat diets (oHFD).¹³ oHFD-associated SNPs, such as those in the PPARA gene,¹⁴ are commonly but misleadingly listed as a contraindication to KD in many genetic interpretation tools available on the internet. Since the metabolic, hormonal and neurological effects of oHFDs are diametrically opposite to those of KD, we do not have any evidence for the use of oHFD-associated SNPs for the prediction of KD response.

Only a few intervention studies have tested the effects of oHFD-associated SNPs on weight loss or body composition in the context of a carbohydrate restriction sufficient to induce ketosis. In table 1, we provide a list of these SNPs as well as other SNPs that have been associated with interindividual differences in the neurological response to KD or ketogenic agents in other intervention studies. A strength of evidence score is provided for each of these

SNPs based on recent guidelines for the interpretation of nutrigenetic variants.¹⁵

SNPs associated with weight loss and body composition outcomes

A study in 86 adults (53 overweight men and 33 normoweight/overweight women) found that SNPs in genes encoding for the metabolic enzymes gastric lipase (LIPF, rs814628-G), hepatic glycogen synthase (GYS2, rs2306179-C), cholesteryl ester transfer protein (rs5883-T) and galanin (rs694066-G) were significantly associated with a greater weight loss in response to KD (8%–13% CHO, 60%–63% fat and 28%–30% protein) over a period of 4–12 weeks.¹⁶

Another study compared the effects of KD (n=93, CHO ~12%) vs a low fat diet (LF, n=70, fat ~25%) on weight loss and body composition, and the association of these effects with genetic variants. The minor G allele of the rs5950584 SNP in the promoter region of the angiotensin II receptor type 2 (AGTR2) gene was associated with a greater reduction in body fat percentage in response to KD (CHO ~12%).¹⁷ The AGTR2 gene has been implicated in the accumulation of fat and development of muscle cell IR induced by high fat, hypercaloric feeding in mice.¹⁸ The AGTR2 gene is X-linked, thus men can have only one of either the T (major) or the G (minor) allele, while women may have zero, one or two copies of either allele. This means that the effect of this gene variant on fat loss with KD may be more prevalent and/or stronger in men than in women. The major G allele in the rs322695 SNP of the RARB gene was associated with greater reductions in body fat percentage within both the KD and LF groups. The RARB gene encodes the retinoic acid receptor beta, a thyroid–steroid hormone receptor which regulates energy production in the liver through modulation of gene expression. This observation is noteworthy in light of the putative role of the retinoic acid system in IR.¹⁹ Other genetic variants were associated with a greater reduction in body fat percentage within the LF group only. These include the major G allele of the rs12691940 SNP in the HNMT gene, which has been implicated in appetite regulation in mice,²⁰ and the major G allele of the rs2838549 SNP in the PFKFB3 gene, which produces a key regulatory enzyme for glycolysis known as hepatic phosphofructokinase.

SNPs associated with neurological outcomes

Using a discovery approach, a recent intervention study in epileptic children found that a SNP within the chromodomain Y 1 ligand, CDYL1, may affect the seizure KD response.²¹ CDYL1 is a chromodomain protein and histone acetyltransferase with important roles in the epigenetic regulation of gene expression in the brain. In this study, 232 epileptic children (age 3–9; male, n=131; female, n=121; 82% Caucasians) received KD for 3 months (classical KD, n=165; medium chain triglyceride (MCT) KD, n=48; modified KD, n=38). Children carrying at least one copy of the CDYL1 rs12204701-A allele, who

Table 1 SNPs for the selection of KD or exogenous ketone sources as therapeutic option with preliminary evidence from intervention studies

Clinical snapshot

Are these SNPs ready for clinical implementation?

These SNPs should be considered as candidate gene variants to evaluate and validate in clinical research rather than established predictors of KD response. The strength of scientific evidence for these SNPs is 'probable' or 'possible' using a scoring system based on recent guidelines for the interpretation of nutrigenetic variants.¹⁵ A strength of evidence score is provided for each SNP in the table.

How can clinicians use these SNPs?

Rather than as a predictive test, clinicians may use these SNPs to set possible/probable expectations with patients for target outcomes such as weight loss, seizure reduction, or cognitive performance and develop an individualised protocol to achieve those goals (eg, macronutrient composition, total energy intake, use of exogenous ketone sources, duration of the intervention, etc). These SNPs may also be used as an explorative tool in clinic-based research for the design of personalised weight loss strategies using KD. Please refer to the 'KD response in intervention studies' column for further details.

How can clinicians help accelerate the clinical implementation of these SNPs?

Clinicians can contribute to the building of a nutrigenomics knowledge base and accelerate the clinical implementation of these SNPs by testing them in clinic-based research, keeping records of their research data, and promoting the establishment of curated databases of nutrigenetic SNPs where they can submit their research data.

SNPs associated with weight loss/body composition outcomes in intervention studies of response to KD

Effect allele	Allele frequency	Enzyme function	Outcome	Response to KD
LIPF rs814628-G		Gastric lipase is secreted by the mucosa of the stomach and hydrolyzes dietary triglycerides in the gastrointestinal tract. It is responsible for 30% of fat digestion in humans. The minor G allele of this SNP determines an amino acid change in the protein sequence (Ala161 >Thr), with possible decrease in enzymatic function.	Weight loss	Individuals with the minor G allele lost more weight than those homozygous for the major A allele in response to KD (8%–13% CHO, 60%–63% fat and 28%–30% protein) over a period of 4–12 weeks in a study in 86 adults. This suggests that decreased gastric fat breakdown on KD may enhance weight loss. ¹⁶ Strength of evidence: Possible.
GYS2 rs2306179-C		Hepatic glycogen synthase two catalyses the formation of glycogen from glucose in the liver. The minor C allele of this SNP is an intronic variant with unknown consequences on protein function.	Weight loss	Carriers of the minor C allele lost more weight than those homozygous for the major T allele in response to KD (8%–13% CHO, 60%–63% fat and 28%–30% protein) over a period of 4–12 weeks in a study in 86 adults. This suggests that the hepatic glycogen response to carbohydrate restriction may influence the weight loss response to KD. ¹⁶ Strength of evidence: Possible.
CETP rs5883-T		CETP regulates the reverse cholesterol transport, a process by which excess cholesterol is removed from peripheral tissues and returned to the liver. CETP may mediate the triglyceride lowering and remodelling effects of LDL and HDL observed with low carbohydrate diets (PMID: 15930434).	Weight loss	Carriers of the minor T allele lost more weight than those homozygous for the major C allele in response to KD (8%–13% CHO, 60%–63% fat, and 28%–30% protein) over a period of 4–12 weeks in a study in 86 adults. This suggests that the weight loss response to KD may depend on the metabolism of circulating lipoproteins. ¹⁶ Strength of evidence: Possible.
GAL rs694066-G		Galanin is an appetite hormone that stimulates food consumption, particularly fat intake. The minor A-allele of this SNP is an intronic variant with unknown consequences on protein function.	Weight loss	GG genotype increased weight loss compared with those who carried the minor A allele in response to KD (8%–13% CHO, 60%–63% fat, and 28%–30% protein) over a period of 4–12 weeks in a study in 86 adults. This suggests that a role of fat-mediated appetite hormones in determining the response to carbohydrate restriction. ¹⁶ Strength of evidence: Possible.

Continued

Table 1 Continued

Clinical snapshot

AGTR2 rs5950584-G		<p>The angiotensin 2 receptor is located primarily in the brain, adrenal medulla, heart and uterus where it counterbalance the effects of angiotensin II, a potent vasopressor hormone and a primary regulator of aldosterone secretion. In mice, AGTR2 has been implicated in the accumulation of fat and development of insulin resistance induced by high fat, hypercaloric feeding.</p>	<p>Weight loss response and reduction of body fat</p>	<p>The minor G allele was significantly associated with a greater reduction in body fat percentage in response to KD with ~12% of total energy from carbohydrates in a study with 93 adults.¹⁷ Given that the AGTR2 gene is X-linked, its effects on fat loss with KD may be more prevalent and/or stronger in men than in women. Strength of evidence: Possible.</p>
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SNPs associated with neurological outcomes in intervention studies of response to KD/exogenous ketone sources

Effect allele	Allele frequency	Enzyme function	Outcome	Response to KD/exogenous ketone sources
CDY1L rs12204701-A		<p>CDY1L is a chromodomain protein and histone acetyltransferase acting as gene repressor and critical for the maintenance of cell identity. It has been implicated in seizure-related neurodevelopmental disorders.</p>	<p>Seizure reduction</p>	<p>The A allele of rs12204701 may alter the levels or function of CDYL1 with effects on gene expression regulation in the brain. Drug resistant epileptic patients with AA and AG genotype may experience lower (<50%) seizure reduction in response to KD with lower blood BHB (~10%), free carnitine (~23%), and lower acetylcarnitine (12%). Strength of evidence: Probable.</p>
APOE rs429358-C		<p>ApoE is the principal cholesterol carrier in the brain and helps clear both cholesterol and triglycerides from the bloodstream. There are at least three alleles of the APOE gene, called e2, e3, and e4, which produce three protein isoforms that differ in only two amino acid sites ApoE2 (Cys112/Cys158), ApoE3 (Cys112/Arg158) and ApoE4 (Arg112/Arg158). These structural differences result in different effects on lipid and glucose metabolism and chronic disease risk. The e4 allele, which is found in 30% of the general population, is associated with a 4-fold to 15-fold increase in Alzheimer's disease (AD) risk in the context of a Westernised lifestyle.</p>	<p>Cognitive performance</p>	<p>Ketones may function as an alternative fuel for neurons,²¹ bypass the defects in cerebral glucose metabolism, and improve cognitive symptoms in AD patients. KD and MMKD may improve cognitive performance, AD biomarkers, and MetS in both e4 carriers and e3 homozygous.²⁸ With regard to exogenous ketone sources, e4 carriers experience smaller improvements in cognitive performance on MCT supplementation compared with e4 non-carriers, despite showing prolonged elevations of BHB levels (24% higher levels 2 hours after MCT administration). This suggests that e4 carrier may have a lower ability to utilise exogenous ketone sources, and thus derive cognitive benefits, compared with e4 non-carriers. Strength of evidence: Probable.^{31 32}</p>

SNP identification numbers (noted as "rs...") are the unique SNP identifiers from the NCBI dbSNP database.

AGTR2, angiotensin II receptor type 2; APOE, apolipoprotein E; BHB, beta-hydroxybutyrate; CDYL1, chromodomain Y-like protein 1; CETP, cholesteryl ester transfer protein; GAL, galanin; GYS2, glycogen synthase 2; HDL, low-density lipoprotein; KD, ketogenic diet; LDL, low-density lipoprotein; LIPF, lipase F; MetS, metabolic syndrome; MMKD, modified Mediterranean-ketogenic diet; NCBI, National Center for Biotechnology Information; SNP, single-nucleotide polymorphism.

represent 17% of the general population and almost 50% of Africans, experienced lower ($\leq 50\%$) seizure reduction when following KD compared with non-carriers. The underlying mechanisms of this gene–diet interaction are unknown but might involve differences in KD-mediated gene expression changes in the brain.

Other neurological studies have used a candidate-gene approach to investigate the effects of genetic variation of the apolipoprotein E gene (APOE) on cognitive performance in response to KD or ketogenic agents in AD patients. ApoE is the principal cholesterol carrier in the brain and helps clear both cholesterol and triglycerides from the bloodstream. About 30% of people have at least one copy of the e4 allele, which has been associated with a 4-fold to 15-fold increase in AD risk compared with individuals with the more common e3 allele, while the e2 allele is related to decreased risk.²² However, lifestyle plays a major role in modifying e4-associated AD risk as illustrated by the observation that, although the e4 allele is most frequently seen in African populations, the incidence of AD in elderly Nigerians is extremely low.²³ E4 carriers are more likely to develop AD if they have high IR,^{24 25} which has been proposed to interact with the e4 variant to produce impaired glucose metabolism, insulin sensitivity and lipid transport in the brain leading to accumulation of amyloid beta and ultimately cognitive failure.²⁶ The underlying mechanisms of this interaction are not fully understood and may reflect biochemical differences between different ApoE isoforms such as susceptibility to glycosylation, effects on brain glucose metabolism through regulation of cytosolic hexokinase, and delivery of lipids to the brain.²⁷ The KD could alleviate the effects of these alterations and improve cognitive symptoms in patients with AD by reducing insulin signalling in peripheral tissues and the brain and providing ketones as an alternative energy source.

Preliminary evidence indicates that APOE genotype may affect cognitive performance in response to elevations in blood ketones depending on the modality of how ketosis is achieved (ie, through KD or ketogenic supplements), which can affect the kinetics of cellular uptake of ketone bodies and their blood levels. In a randomised, double-blind, cross-over trial comparing a modified Mediterranean-ketogenic diet (MMKD) vs American Heart Association Diet over a period of 6 weeks, MMKD improved AD biomarkers such as accumulation of brain amyloid in both e4 carriers and e3 homozygous with mild cognitive impairment (MCI) (n=17; age 58–70 y; 11 subjects with MCI and 6 cognitive normal) with no significant difference based on APOE genotype.²⁸ This is in line with a case report of an e4 heterozygous 71-year-old woman with mild AD and MetS who experienced significant improvements in both cognitive performance and MetS biomarkers (HOMA-IR, -75%; triglycerides, -50%; very low-density lipoprotein (LDL), -50%; Hemoglobin A1C (HbA1c), from 5.7% to 4.9%) after a 10-week protocol of KD, time-restricted eating and physical/cognitive exercise.²⁹ In contrast, greater carbohydrate

intake has been associated with poorer performance in attention in e4 carriers and poorer performance in verbal memory in e4 non-carriers.³⁰

On the other hand, the e4 variant may have an effect on cognitive performance in response to ketogenic agents in patients with AD. In a placebo-controlled trial in 20 patients with AD or MCI, the administration of MCT, 40 mL blended with 152 mL heavy whipping cream produced improvements in cognitive performance as measured by AD Assessment Scale-Cognitive Subscale (ADAS-cog) only in e3 homozygous but not in e4 carriers.³¹ At the same time, e4 carriers experienced, counterintuitively, more prolonged elevations in ketone levels in response to MCT administration suggesting that their lower cognitive response may reflect lower cellular uptake or utilisation of ketogenic agents compared with e4 non-carriers. These findings were replicated in a larger randomised, double-blind, placebo-controlled study in 152 AD patients, which tested the cognitive effects of an MCT-based supplement composed of glycerin and caprylic acid (AC1202, 10 gr) administered over a period of 3 months. While AC1202 induced significant improvement in ADAS-cog in both e4 carriers and non-carriers, these effects were greater and significantly correlated with blood BHB levels only in e4 non-carriers.³²

SNPs associated with blood lipids and cardiovascular health outcomes

One of the most clinically relevant and frequently asked question among health practitioners and patients is whether some genetic variant may predispose to cardiovascular disease (CAD) such as high LDL cholesterol (LDL-C) in the context of KD. Although several cholesterol-raising genetic variants have been identified and associated with higher CAD risk in the context of oHFD, there is still no evidence about whether these variants may constitute a contraindication to KD. **Box 1** provides a brief discussion of this topic along with some practical tips for clinicians managing patients who experience an increase in LDL-C in response to KD.

INBORN GENETIC CONDITIONS WITH EFFECTS ON KD RESPONSE

While only a few common SNPs have been shown to affect KD response in clinical trials, several rare mutations (frequency $\leq 1\%$) can cause inborn errors of metabolism (IEM) and have strong effects on KD response, representing either an indication or contra-indication for its use. Online supplemental tables S1 and S2 provide an overview of these conditions and a selection of their most frequently reported mutations with a focus on their effects on KD response and levels of ketones and glucose in the blood.

KD is contraindicated and potentially lethal in individuals affected by IEMs that prevent the body from using ketones or fatty acids as fuel such as inborn defects of ketone metabolism as well as fatty acid oxidation disorders

Box 1 Cholesterol-raising genetic variants: a contraindication for ketogenic diet (KD)?

Approximately one in four people experience increases in low-density lipoprotein cholesterol (LDL-C) when following KD. These individuals are often referred to as 'high responders'. While the biological mechanisms of this response are still unclear, we know that certain genetic variants may predispose some people to produce more cholesterol or reduce its blood clearance on a high fat diet. Several LDL-C-raising common single-nucleotide polymorphisms (SNPs) and rare mutations have been identified and linked to metabolic and cardiovascular disease in the context a Westernised obesogenic high fat diet (oHFD) high both in fats and refined carbohydrates. Examples of well-characterised common SNPs associated with higher LDL-C and/or adverse metabolic traits in the context of oHFD include lipase C, hepatic type (LIPC) rs1800588 (high LDL/high-density lipoprotein (HDL) ratio),^{50–52} APOA2 rs5082 (high body mass index),^{37 53–55} APOA4 rs675 (higher LDL-C and ApoB),⁵⁶ PPAR-alpha rs1800206 (high LDL-C and triglycerides (TG) with high saturated fat intake and low polyunsaturated fat intake),^{14 51 57 58} as well as non-coding variants such SORT1 rs12740374 (high LDL-C and very LDL particle number).⁵⁹ Examples of rare LDL-C raising variants are those with genes implicated in familial hypercholesterolemia (FH) and other phenotypically related lipid disorders such as LDLR, APOB, PCSK9, LDLRAP1,^{60–62} LIPA,⁶³ ABCG5, ABCG8⁶⁴ and apolipoprotein E.^{65 66} However, there is still no evidence about whether these variants may constitute a contraindication to KD, although some direct-to-consumer genetic reports make these claims. Some studies indicate that the increase in LDL-C observed on KD and other low-carb high-fat diets reflects an increase in LDL-C particle size rather than number, a change that is associated with reduced cardiovascular risk and accompanied by an improvement in other cardiovascular risk factors such as TG and HDL.^{67–70} On the other hand, even large LDL-particles can become atherogenic in presence of genetic factors that reduce LDL clearance such in the case of FH and other lipid disorders.

Therefore, we still do not have an answer to the question clinicians care most about: What patients would do better on KD and what patients would do worse? In absence of evidence-based guidelines, clinicians who manage patients who are 'hyper-responders' to KD typically follow a pragmatic approach involving the assessment of additional cardiovascular markers (eg, LDL particle number, TG, HDL, coronary calcium score) as well as family history of cardiovascular disease. If these markers are altered, patients may consider stopping KD or starting a cholesterol lowering medication. With patients who express a desire and willingness to give KD a last chance, a common practice is to have them reduce the amount of red meat⁷¹ and replace saturated fats with unsaturated fats and experiment (Ethan Weiss, personal communication).

(FAODs) (online supplemental table S1). A special case of FAOD is CPT1A deficiency due to the so-called arctic variant, which is the most common CPT1A allele (68%–85%) in indigenous arctic populations but is extremely rare in the general population (box 1). In contrast to the mutations causing primary CPT1A deficiency, which completely abolish enzymatic function, the arctic variant has modulatory effects on CPT1A that decrease its baseline activity but increase its activation in the context of a diet high in omega-3 fatty acids and low in carbohydrates such as that traditionally consumed by Arctic populations. This might explain its positive selection in these populations and the potential risks that this variant may pose

in view of the recent shift to a Western diet in the Arctic (box 2).

Other inborn conditions provide an indication for the use of KD as either a first-line therapy or adjunct therapy to improve symptoms and outcomes (online supplemental table S2). These conditions include glucose transporter 1 (GLUT1)-deficiency syndrome, pyruvate dehydrogenase (PDC) deficiency, drug-resistant epilepsy, glycogen storage disease, mitochondrial disorders, urea cycle disorders, purine metabolism disorders and amino acid metabolism disorders. Most of these conditions, with the exception of glycogen storage disease, present with seizures, impaired brain function and neurological symptoms that show significant improvements on KD through complex yet not fully elucidated mechanisms.

TOWARDS EVIDENCE-BASED RECOMMENDATIONS

There is still insufficient evidence for the use of genetic variants for the prediction of KD response. While some variants can have strong effects on KD response, these variants are found in rare congenital disorders and are therefore not relevant for the majority of the population (online supplemental tables S1 and S2). On the other hand, common SNPs that are widely represented in the population have small effects sizes and work together with other gene variants and lifestyle factors to affect complex trait such as KD response (table 1). Future studies with robust design and adequate power should replicate and quantify the associations observed in intervention studies of KD response, test additional promising candidate gene variants (online supplemental table S3), and identify new variants. This will ultimately facilitate the development of prediction models that quantify the contribution of each of these variants on KD outcomes as well as their interaction with dietary intake and other risk factors.

Candidate genes

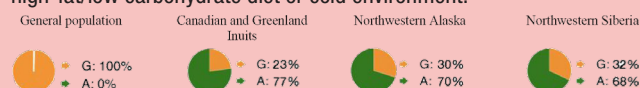
Promising candidate SNPs include those associated with KD response in intervention trials (table 1), as well as additional SNPs presented in online supplemental table S3, which we have selected based on the two following criteria: (1) location within genes that are mutated in rare diseases with strong effects on the metabolism of ketones and fats (online supplemental tables S1 and S2) and (2) associations with metabolic traits in observational studies.

The discovery of new genetic variants in large well-designed GWAS will be critical for the development of more accurate prediction models of KD response for personalised patient profiling. Data sets from large intervention GWAS could be used for predicting an individual's likelihood of successful response before the start of a KD intervention using models that integrate baseline factors (eg, age, height, sex, baseline weight) and outcome-related variables (eg, target outcomes such as macronutrient composition, total energy intake, expected weight loss, seizure reduction, etc). For example, a model of this kind was able to predict with a ~80% accuracy the

Box 2 The 'Arctic variant' of CPT1A

One of the strongest selective sweeps in human evolution

The so-called 'arctic variant' of CPT1A (rs80356779 G>A; c. 1436C>T or Pro479Leu on the reverse strand) is the most common allele (68% to 85%) in indigenous arctic populations (Alaska, Greenland, Northern Canada, and northeastern Siberia)^{72–76} but is absent in other publicly available genomes.⁷² A strong positive selection, a 'selective sweep', has driven this variant to high frequency in circum-Arctic populations, possibly as a result of the selective advantage it originally provided to a high-fat/low carbohydrate diet or cold environment.⁷⁵



Effects on enzyme function and dietary context

In contrast to the mutations causing primary CPT1A deficiency, which completely abolish enzymatic function, the arctic variant has two counteracting effects on CPT1A activity that interact with dietary factors. While the variant induces a 25%–50% reduction in CPT1A activity,⁷³ it also reduces CPT1A sensitivity to inhibition by malonyl-CoA in response to insulin signaling.^{77 78} As a result, given that the traditional arctic diet was rich in nutrients that activate CPT1A, the enzyme produced by the arctic variant might have been even more active than the wild-type one.⁷⁸ The traditional arctic diet was not only high in fat and low in carbohydrates but also four times higher in omega-3 polyunsaturated fatty acids (PUFAs) compared with a standard Western diet.⁷⁹ Omega-3 PUFAs are strong activators of CPT1A and have been shown to increase ketone levels twice as much as saturated fat in humans.^{80 81}

How ketogenic diet (KD) might have driven the selective sweep of the arctic variant

What might have driven the selective sweep of the arctic variant? Beneficial health effects have been observed in Greenland Inuits (protection from atherosclerosis)⁷⁶ and in Yup'ik Eskimos (lower adiposity with higher high-density lipoprotein)⁸² In contrast, homozygous infants eating non-traditional diets have higher mortality rate due to infectious disease and intolerance to fasting (lower ketone production and hypoglycaemia).^{83 84} This paradox might be explained by the need to adapt to long-term KD during evolution and the recent shift to a Western diet in the Arctic. One hypothesis, which frames ketosis as a potentially harmful state, is that the advantage of the arctic variant was to decrease ketosis through reduction of CPT1A activity thus preventing the risk of ketoacidosis.⁸⁵ Another hypothesis is that the arctic variant would have enabled to maintain ketosis despite the high protein content of traditional Arctic diets^{86 87} through lower inhibition of CPT1A by malonyl-CoA.⁷³ If true, this second hypothesis would support the role of ketosis as essential biochemical state in the context of carbohydrate restriction. We still don't know which, if any, of these hypotheses may be true since most of the available evidence is based on studies in children eating non-traditional diets. In any case, it is likely that the traditional arctic diet might have provided an environment that maximised the beneficial effects of the arctic variant, whereas the shift to a Western diet might have unmasked its potential risks.

Toward evidence-based recommendations for homozygous infants

Rather than being a cause of infant death, the arctic variant is likely to be only one of a complex set of contributing factors due to the shift to a Western diet rich in refined carbohydrates and low in omega-3 PUFAs.

Continued

Box 2 Continued

A prospective cohort study is currently testing the hypothesis that pre- and postnatal exposure to n-3 PUFAs may reduce the adverse effects of the arctic variant in Alaska Native children. The results of this study may shed some light on the gene-diet interactions that might have driven the selective sweep of the arctic variant and facilitate the development of evidence-based recommendations for homozygous infants identified by newborn screening.⁸⁸ Public recommendations to increase feeding with nontraditional carbohydrate-rich diets are not based on evidence and may have unintended harmful consequences.⁷⁸

probability of losing >5% of body weight after following for 1 year, one of four hypocaloric diets with carbohydrate composition ranging from 35% to 65% using data from the first 3 months of weight loss in the POUND-LOST trial.³³

Polygenic scores

The most relevant GWAS of KD response could also be used to develop polygenic scores, which enable greater power than individual SNPs for detection of interactions with environmental factors, including diet. Polygenic scores provide a more quantitative metric of an individual's genetic likelihood to express a given trait, such as a certain KD response, based on the cumulative impact of many common SNPs. Weights are generally assigned to each SNP according to the strength of its association with a given trait (effect estimate). Individuals are scored based on how many risk alleles they have for each variant (eg, zero, one, two copies) included in the polygenic score. Recent studies have shown that polygenic scores can greatly enhance the ability to identify clinically meaningful variations in the predisposition to common lifestyle-related diseases such as coronary artery disease, type 2 diabetes and obesity.^{34 35} These advances have been made possible thanks to the conduction of GWAS with large sample size enabling more precise effect estimates, the development of algorithms that combine genome-wide sets of variants, and the availability of large biobanks for validation and testing. Using the same tools, it is possible to develop polygenic scores for the prediction of diet response that would complement those for disease risk prediction by facilitating the design of personalised diet interventions for individuals at higher polygenic risk for certain lifestyle-related diseases.

Dynamic biomarkers

Another promising approach is to apply a systems genetics framework to integrate polygenic gene prediction with dynamic biomarkers such as metabolic, epigenetic, transcriptomic profile and the microbiome to identify 'hub genes' that regulate gene networks interacting with environmental factors. These dynamic biomarkers rapidly respond to dietary manipulation and interact with both physiological factors (eg, sex, age) and lifestyle-related factors (IR, activity level, stress, etc). Once implemented, ketogenic therapies require oversight, especially in

vulnerable patient populations. Therefore, biomarkers of compliance and efficacy that can be monitored by patients and their medical teams are important to develop. One such proposed tool is the Glucose:Ketone Index (GKI), a value used to describe the ratio of glucose to ketones (in mmol/L) in the blood of individuals on ketogenic metabolic therapies. Preliminary data from animals and small human trials suggest that GKI may successfully predict therapeutic efficacy of the KD in brain cancer. Similarly, subjective qualitative markers such as self-reported appetite, energy levels and sleep quality may also be used to predict candidacy, compliance and efficacy of ketogenic therapies. Impairments in one or more of such areas may support initiation, alteration, or discontinuance of the KD, depending on the individual patient and their specific lifestyle and medical needs.

Since people vary widely in their response to a given amount of carbohydrate, biomarkers may be useful in determining who is most appropriate for a KD, or what level of carbohydrate restriction is required to enable weight loss, disease reversal or other desired outcomes. One such marker is the monounsaturated fatty acid palmitoleic acid (cis-16:1n7). Palmitoleic acid is the primary fatty acid product of de novo lipogenesis (DNL). It is produced from palmitic acid by stearoyl CoA desaturase-1, increasingly proportionally more than any other fatty acid when carbohydrate is provided in excess driving up DNL.³⁶ Since palmitoleic acid is relatively low in the diet, its abundance can be useful as proxy of the metabolic pathway that converts carbohydrate to fat, with the caveat that very high intakes of specific palmitoleic acid-rich foods (eg, macadamia nuts and avocado) may confound this association. Beyond its role as a surrogate for DNL, greater abundance of palmitoleic acid in the circulation or in tissue membranes is strongly linked to a host of metabolic derangements including obesity,³⁷ MetS,^{38 39} type 2 diabetes,^{40–42} heart failure^{43 44} and CVD mortality.^{45 46} Thus, higher proportion of plasma palmitoleic acid is an early indication of carbohydrate intolerance (ie, more carbohydrate directed toward DNL), and an independent risk factor for diabetes and CVD.

We have reported in multiple studies that there is a remarkable stepwise uniformity in the response of circulating palmitoleic acid to varying level so of carbohydrate. Palmitoleic acid consistently decreases when carbohydrates are restricted, especially KDs, and increases when more carbohydrate is consumed.^{47–49} This effect is not significantly altered by weight loss or sex. Notable, is the fact that at any given level of carbohydrate intake palmitoleic acid levels vary, likely reflecting hereditary factors manifesting in an IR (ie, carbohydrate intolerant) phenotype. Whereas the direction of palmitoleic acid change is consistent as people add more carbohydrate to their diet, the magnitude varies. As such, if a person is able to maintain a relatively low level of palmitoleic acid it suggests they are ‘appropriately’ disposing of carbohydrate through oxidative pathways as opposed to DNL. In contrast, if palmitoleic acid levels are high or are rising

it suggests that the current level of carbohydrate intake is not being managed in a healthy manner. In this way, palmitoleic acid could be used to titrate a personalised level of carbohydrate consumption.

Establishing common standards of study design and variant interpretation

While this review focuses on genetic variants of KD response, it is also a call to action for researchers, clinicians and funding institutions to establish common standards for study design and variant interpretation for the field of nutrigenomics as a whole. This will enable the building of a nutrigenomic knowledge base and accelerate the implementation of nutrigenomics in precision medicine.

The scientific validation of nutrigenetic variants will require the use of large sample size, common definitions for dietary protocols, and patient stratification to evaluate heterogeneity of response in different patient subgroups. Large sample sizes will minimise both false positive and false negative associations and enable the replication of the most significant associations across different studies. Reproducibility of results will also require the establishment of common standards to define what constitute a ‘high-fat’, ‘low-carb’ or ‘ketogenic’ diet—definitions that are highly variable from study to study—as the effects of high fat intake are heavily dependent on the quantity and quality of dietary carbohydrates.¹³ A valuable method of defining a KD may be one that elevates ketones above approximately 0.5 mM. Future studies of KD response should also evaluate modified KD protocols tailored to different subgroup of people with the goal of both personalising and expanding the applications of KD in clinical settings.

The clinical implementation of genetic variants of KD response, and nutrigenetic variants in general, will also require the development of a rigorous framework for variant interpretation. A model for such a framework is the partnership between ClinGen and ClinVar, two efforts of the National Institutes of Health to support genomic interpretation and implementation. The ClinGen programme employs Expert Panels to assess the clinical validity and actionability of disease-related gene variants, which are then shared with the public through the ClinVar database. Building a similar framework for the interpretation of nutrigenetics variants will require several steps including: (1) the development of common guidelines such as those proposed by Grimaldi *et al*¹⁵ and establishment of Expert Panels for the identification of scientifically valid and clinically useful variants; (2) public sharing and crowdsourcing of variant submission from clinical testing labs, research institutions, public databases and professional societies; (3) the creation of working groups to refine and update guidelines as they are tested and deployed by the community. Taking these steps will greatly accelerate the implementation of nutrigenetics in clinical practice.

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